



SSAE No. 16 Certification

SSAE No. 16 Certification (Received 8/15/2011):

The new service organization reporting standard, Statement on Standards for Attestation Engagements (SSAE) No. 16, is effective as of June 15, 2011. SSAE 16 supersedes Statement on Auditing Standards (SAS) No. 70 with the professional guidance on performing the service auditor's examination.

SAS 70 Type I Certification (Received 2/15/2010): Indicates an auditor has reviewed and recognized the fairness of the organization's controls and verified their placement in the operations.

SAS 70 Type II Certification Type II Certification (Received 9/20/2010): Verifies the controls recognized in the Type I certification audit report are operating effectively.

Control Objectives and Control Activities Reviewed In Audit

ORGANIZATION AND MANAGEMENT

Control Objective 1: Controls provide reasonable assurance that senior management provides planning and oversight of the organization's activities.

EMPLOYMENT PRACTICES

Control Objective 2: Controls provide reasonable assurance employment practices minimize exposures due to employee incidents and provide employees with adequate direction to perform their job responsibilities.

NEW CUSTOMER SETUP & PROVISIONING

Control Objective 3: Controls provide reasonable assurance that new customers are set up completely and accurately.

PHYSICAL SECURITY

Control Objective 4: Controls provide reasonable assurance that physical access restrictions are implemented and administered to ensure that only authorized individuals have the ability to access or use information resources.

ENVIRONMENTAL CONTROLS

Control Objective 5: Controls provide reasonable assurance that environmental monitors and devices exist to ensure the availability of the data center, networks and systems.

LOGICAL ACCESS

Control Objective 6: Controls provide reasonable assurance that security administration procedures are being maintained in accordance with management's directive.

SECURITY TOOLS

Control Objective 7: Controls provide reasonable assurance that security tools are used to protect, monitor, report, and investigate intrusions.

COMPUTER OPERATIONS

Control Objective 8: Controls provide reasonable assurance that computer operations procedures help to ensure complete and accurate processing of user data.

BACKUP AND RECOVERY

Control Objective 9: Controls provide reasonable assurance that critical applications and data are backed.

SYSTEMS DEVELOPMENT AND MAINTENANCE

Control Objective 10: Controls provide reasonable assurance that modifications to applications are initiated, tested and approved before being implemented into production.

SYSTEM SOFTWARE MAINTENANCE

Control Objective 11: Controls provide reasonable assurance that changes made to system software are authorized, tested and documented appropriately.